



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NEAL C. OLIVER, ET AL.

Application No.: 10/607,728

Filed: June 27, 2003

For: **Method and System for Open-Loop Congestion  
Control in a System Fabric**

Art Group:

Examiner:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

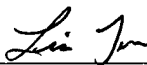
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 30, 2003

  
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Lisa Tom, Reg. No. 52,291

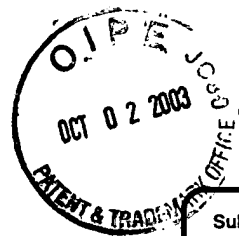
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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
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Annie G. Pearson

09-30-03

*Date*



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***Complete If Known*

Application Number	10/607,728
Filing Date	June 27, 2003
First Named Inventor	Neal C. Oliver
Art Unit	
Examiner Name	
Attorney Docket Number	42P16530

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of

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**NON PATENT LITERATURE DOCUMENTS**

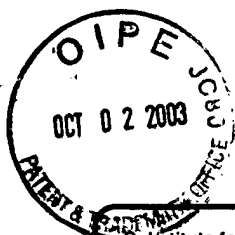
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	GEORGE APOSTOLOPOULOS ET AL., "Quality of Service Based Routing: A Performance Perspective", 1998 ACM 1-58113-003-1/98/0008, pgs 17-28.	
	2	MATTHIAS BOSSARDT ET AL., "ABR Architecture and Simulation for an Input-Buffered and PER-VC Queued ATM Switch", 1998 IEEE 0-7803-4984-9/98, pgs 393-398	
	3	BAOLO BOSTICA ET AL., "QUASIMODO- Quality of Service Methodologies and Solutions Within the Service Framework: Measuring, Managing and Charging QoS", 2001 EURESCOM Participant in Project P906 EDIN 0088-0906, pgs 1-30.	
	4	INTERNATIONAL TELECOMMUNICATION UNION, " Terms and Definitions Related To Quality of Service and Network Performance Including Dependability", 8/94 ITU-T Recommendation E.800, pgs 1-53	
	5	INTERNATIONAL TELECOMMUNICATION UNION, " B-ISDN Service Aspects", 8/92 ITU-T Recommendation I.211, pgs 1-13	
	6	INTERNATIONAL TELECOMMUNICATION UNION, " General Aspects of Quality of Service and Network Performance In Digital Networks, Including ISDNs", 3/93 ITU-T Recommendation I.350, pgs 1-13	
	7	INTERNATIONAL TELECOMMUNICATION UNION, " B-ISDN ATM Layer Cell Transfer Performance", 3/00 ITU-T Recommendation I.356, pgs 1-56	

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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
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STATEMENT BY APPLICANT**

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Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T‡
	8	ANDREAS VOGEL ET AL., "Distributed Multimedia and QoS: A Survey", 1995 IEEE 1070-986X/95, pgs 10-19	
	9	MOBILE WIRELESS INTERNET FORUM, "IP in the RAN as a Transport Option in 3rd Generation Mobile Systems", Mobile Wireless Internet Forum Technical Report, MTR-006 Release v2.0.0, pgs 1-118	
	10	RICHARD CAM ET AL., "System Packet Interface Level 3 (SPI-3): OC-48 System Interface for Physical and Link Layer Devices", Optical Internetworking Forum SPI-3, Implementation Agreement: OIF-SPI3-01.0, pgs 1-42	
	11	RICHARD CAM ET AL., "System Packet Interface Level 4 (SPI-4) Phase 2: OC-192 System Interface for Physical and Link Layer Devices", Optical Internetworking Forum SPI-4 Phase 2, Implementation Agreement: OIF-SPI4-02.0, pgs 1-59	
	12	INTERNATIONAL TELECOMMUNICATION UNION, "Broadband Integrated Services Digital Network (B-ISDN) - Digital Subscriber Signalling System No. 2 (DSS 2) - User-Network Interface (UNI) Layer 3 Specification for Basic Call/Connection Control", 2/95 ITU-T Recommendation Q.2931, pgs 1-249	
	13	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Radio Access Network; IP Transport in UTRAN; Release 5; 3GPP TR 25.933 V5.0.0 (2002-03), pgs 1-127	
	14	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Services and System Aspects; Mandatory Speech Codec Speech Processing Functions; AMR Speech Codec Frame Structure; Release 4; 3GPP TS 26.101 V4.2.0 (2002-03), pgs 1-19	

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	15	3rd GENERATION PARTNERSHIP PROJECT; Technical Specification Group Services and System Aspects; QoS Concept and Architecture; Release 5; 3GPP TS 23.107 V5.4.0 (2002-03), pgs 1-40	
	16	CUI-QING YANG ET AL.; "A Taxonomy for Congestion Control Algorithms in Packet Switching Networks", July/August 1995 IEEE, 0890-8044-95, pgs 34-45	

Examiner Signature		Date Considered	
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